

JUN 04 2002
PATENT & TRADEMARK OFFICE
U.S. GOVERNMENT

Attorney's Docket No.: 06975-128001

REC
6-6-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Barry Appelman et al.
Serial No. : 09/842,024
Filed : April 26, 2001
Title : TARGETED NOTIFICATION OF USERS OF A COMMUNICATIONS SYSTEM

Commissioner for Patents
Washington, D.C. 20231

Art Unit : 2151
Examiner : Unknown

RECEIVED
JUN 06 2002
U.S. GOVERNMENT
TECHNOLOGY CENTER 2100

INFORMATION DISCLOSURE STATEMENT

RECEIVED
JUN 05 2002
Technology Center 2100

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each document listed on the attached Form PTO-1449 is enclosed herewith.

The Information Disclosure Statement is being filed before the first Office Action on the merits.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,


Andrew F. Bodendorf
Reg. No. 39,537

Date: JUNE 4, 2002

Fish & Richardson P.C.
1425 K Street, N.W.
11th Floor
Washington, DC 20005-3500
Telephone: (202) 783-5070
Facsimile: (202) 783-2331

JUN 06 2002

PATENT

SCSA

Sheet 1 of 1

| | | | | | |
|---|--|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | | Attorney's Docket No. 06975-128001 | Application No. 09/842,024 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) | | RECEIVED | | Applicant Barry Appelman et al. | |
| (37 CFR §1.98(b)) | | Filing Date April 26, 2001 | | Group Art Unit 2151 | |

| U.S. Patent Documents | | | | | | | |
|-----------------------|-----------|---------------|------------|-----------------------|-------|----------|----------------------------|
| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | 5,742,905 | 04/21/1998 | Pepe et al. | | | |
| | AB | 8,878,219 | 03/02/1999 | Vance, Jr. et al. | | | |
| | AC | 5,951,652 | 09/14/1999 | Ingrassia, Jr. et al. | | | |
| | AD | 5,954,798 | 09/21/1999 | Shelton et al. | | | RECEIVED |
| | AE | 5,951,643 | 09/14/1999 | Shelton et al. | | | JUN 05 2002 |
| | AF | 5,961,603 | 10/05/1999 | Kunkel et al. | | | Technology Center 2100 |
| | AG | 5,973,683 | 10/26/1999 | Cragun et al. | | | |
| | AH | 5,991,735 | 11/23/1999 | Gerace | | | |
| | AI | 6,029,195 | 02/22/2000 | Herz | | | |
| | AJ | 6,061,056 | 05/09/2000 | Menard et al. | | | |

| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |
| | | | | | | | Yes No |
| | AK | WO 99/04561 | 01/28/1999 | WIPO | H04N | 5/50 | |
| | AL | WO 98/31114 | 07/16/1998 | WIPO | H04H | 9/00 | |
| | AM | WO 98/41020 | 09/17/1998 | WIPO | H04N | 7/10 | |
| | AN | EP 0/854/645A2 | 07/22/98 | Europe | H04N | 5/445 | |

| Other Documents (include Author, Title, Date, and Place of Publication) | | |
|---|-----------|---|
| Examiner Initial | Desig. ID | Document |
| | AO | Alan Cohen, "Instant Messaging", 4/13/99, PC Magazine, PC Labs, 2 pages. |
| | AP | "AOL technology: turning complicated things into engaging services", 1996 Annual Report, 22 pages. |
| | AQ | "AOL Instant Messenger Windows Beta Features", 06/24/99, 2 pages, AOL Instant Messenger All New Version 2.0, 2 pages, 06/24/99, What is AOL Instant Messenger, 3 pages, 06/24/99, Quick Tips for Getting Started, 5 pages, 06/24/99, Frequently Asked Questions About AOL Instant Messenger, 6 pages, 06/24/99. |
| | AR | |

| | |
|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |